6.312333 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE re patent application of: Han H. Nee

Before the Examiner: Elizabeth Evans Mulvaney

Application No.: 10/763,697

Group Art Unit:

Filed: January 23, 2004

1774

METAL ALLOYS FOR THE REFLECTIVE OR THE SEMI-REFLECTIVE LAYER OF

AN OPTICAL STORAGE MEDIUM

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure embodied in 37 C. F. R. § 1.56, Applicant wishes to bring to the attention of the Examiner the following.

This information has not been previously submitted in this application, and has not been heretofore cited by the Examiner. Copies of the listed references with an "*" adjacent the number are not being provided pursuant to 37 C.F.R. § 1.98(d), because they were previously considered in an application to which priority is claimed under 35 U.S.C. § 120 as follows. This application is a continuation of Application No. 10/457,935, filed June 10, 2003, which is a continuation-in-part of

> I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail on November 10, 2004, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registered Representative: John J. Emanuele

2nd Supplemental Information Disclosure Statement

Applicant: Han Nee Application No.: 10/763,697 Filed: January 23, 2004

Page 1

Application No. 10/342,649, filed January 15, 2003, now U.S. Patent No. 6,790,503, issued on

September 14, 2004, which is a continuation-in-part of Application No. 10/090,855, filed March 4,

2002, now U.S. Patent No. 6,764,735 issued, on July 20, 2004, which is a continuation-in-part of

Application No. 09/661,062, filed September 13, 2000, now Patent No. 6,451,402, issued on

September 17, 2002, which is a continuation-in-part of Application No. 09/557,135, filed April 25,

2000, Abandoned, which is a continuation-in-part of Application No. 09/438,864, filed November 12,

1999, now Patent No. 6,280,811, issued on August 28, 2001, which is a continuation-in-part of

Application No. 09/102,163, filed June 22, 1998, now Patent No. 6,007,889, issued on October 28,

2002, and is also a continuation-in-part of Application No. 10/409,037, filed on April 8, 2003, which is

a continuation-in-part of Application No. 09/834,775, filed April 13, 2001, now Patent No. 6,544,616,

issued on April 8, 2003, Abandoned, which claims the benefit of Provisional Application No.

60/219,843. These patents have been identified on an accompanying INFORMATION DISCLOSURE

CITATION FORM.

, , · ·

This Statement is being submitted after the mailing of an Office Action on the merits, but before

the mailing of any Final Office Action. The fee of \$180 as required under 37 C.F.R.§ 1.17(p) is

enclosed. Therefore, it is believed that no additional fees are due. However, the Commissioner is

authorized to charge any fee due as set forth in § 1.17(p) and to charge any additional fees which may

be due with this filing to Deposit Account No. 23-3030, but not to include any payment of issue fees.

Enclosed are references in the Japanese language. By way of a concise statement of the

relevance of the enclosed Japanese references in accordance with 37 C.F.R. § 1.98(a)(3)(I) also

enclosed are abstracts of some of these references in the English language. Also provided for some of

these references are portions of translations into the English language that were provided to the Office

2nd Supplemental Information Disclosure Statement

by a third party.

in § 1.56(b).

The filing of this Information Disclosure Statement shall not be construed as an admission that the information cited is, or is considered to be, material to patentability as defined

Respectfully submitted:

John J. Emanuele

Reg. No. 51,653

Woodard, Emhardt, Moriarty, McNett & Henry

Bank One Center Tower

111 Monument Circle, Suite 3700

Indianapolis, Indiana 46204-5137

(317) 634-3456

WEMMH/SB/08A (4/03)

NOV 1 5 2004

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Paperwork Reduction 40 of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

		ION DISCLOSUR	E CITATION	Atty. Docket N	o.: 7272-46	Application	No.: 10/763,697		
Page 1of 7272-46/312	5 Pa 2362	ges		Applicant : Ha	Applicant: Han H. Nee				
				Filing Date: Ja	nuary 23, 200	O4 Group Art U	nit: 1774		
-			U.S.	PATENT DOCU	MENTS				
Examiner Initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	Filing Date If appropriate		
	*	4,404,656	9/13/83	Cornet et al.					
	*	4,405,994	9/20/83	Cornet et al.					
	*	4,450,553	5/22/84	Holster et al.	-				
	*	4,709,363	11/24/87	Dirks et al.					
	*	4,998,239	3/5/91	Strandjord et al.					
	*	5,090,009	2/18/92	Hamada et al.					
	*	5,093,174	3/3/92	Suzuki et al.					
	*	5,171,392	12/15/92	Iida et al.					
	*	5,325,351	6/28/94	Uchiyama et al.					
	*	5,391,462	2/21/95	Arioka et al.					
	*	5,415,914	5/16/95	Arioka et al.					
	*	5,419,939	5/30/95	Arioka et al.					
	*	5,498,507	3/12/96	Handa et al.					
	*	5,620,767	4/15/97	Harigaya et al.					
	*	5,640,382	6/17/97	Florczak et al.					
		5,719,006	2/17/98	Ohkubo					
		5,741,603	4/21/98	Yasuda					
	*	5,820,994	10/13/98	Gotoh et al.					
	*	6,007,889	12/28/99	Nee					
	*	6,280,811	08/28/01	Nee					
	*	6,451,402	09/17/02	Nee					
	*	5,853,872	12/29/98	Shimamori et al.					
	*	5,753,413	05/19/98	Nishida et al.					
	*	5,948,497	09/07/99	Hatwar et al.					
	*	5,093,174	03/03/92	Suzuki et al.					
	*	6,544,616	04/08/03	Nee					
	*	6,007,887	12/28/99	Hatwar et al.					
	*	6,228,457	05/08/01	Ueno et al.					
	*	5,673,251	09/30/97	Suzuki et al.					
	*	6,232,036	05/15/01	Suzuki et al.					
	*	6,242,068 B1	06/05/01	Preuss					
	*	6,292,457 B1	09/18/01	Preuss et al.					
	*	6,351,446 B1	02/26/02	Weinzerl et al.					

Examiner	Date Considered
Examiner: initial if reference considered, whether or not citation is i	n conformance with MPEP 600. Draw line through citation if not in

Examiner: initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

WEMMH/SB/08A (4/03)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Pape NSW Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Page 2of	5 Pa	ON DISCLOSUSE CIT	ATION	Atty. Docket No.: 7272-	,,,	, ipplication re	.: 10/763,697		
Page 2of 5 Pages 7272-46/312362				Applicant: Han H. Nee					
	,			Filing Date: January 2.	3, 2004	Group Art Unit	: 1774		
			U.S. PA	TENT DOCUMENTS	S				
Examiner Initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	Filing Date If appropriate		
	1	6,764,735	07/20/04	Nee					
		6,790,503	09/14/04	Nee					
	*	US2002/0034603	03/21/02	Nee		 			
	*	US2002/0034003	09/05/02	Nee					
	*	US2002/0150772	10/17/02	Nakai et al.			 		
	*	US2003/0003395	01/02/03	Yuzurihara et al.		<u> </u>			
	*	US2003/0008236	01/09/03	Yamada et al.	+				
	*	US2003/0081537	05/01/03	Shinotsuka	+				
	*	US2003/0081337 US2003/0180495 A1	09/25/03	Ito et al.		+	<u> </u>		
	*	US2003/0215598 A1	11/20/03	Nee					
	+	US2004/0048193 A1	03/11/04	Lichtenerger et al.					
	+	US2004/0005432 A1	01/08/04	Ridout et al.					
		US2004/0161630 A1	08/19/04	Specht et al.					
	+								
					1				
	1								
				_					
	I^-								
Examiner				Date Considered					
		if reference considered, whether							

DOCUMENT NUMBER 03286432A 05012710 07014221A 07105575A 08297858 09007226 08158147 08149725 08115029	12/17/91 1/22/93 1/17/95 4/21/95 11/12/96 1/10/97	Applicant : Han H. Filing Date: January PATENT DOCUM COUNTRY JP JP JP JP JP JP	23, 2004	Group Art Unit: 1 SUBCLASS	774 Translation Yes No
03286432A 05012710 07014221A 07105575A 08297858 09007226 08158147 08149725 08115029	12/17/91 1/22/93 1/17/95 4/21/95 11/12/96 1/10/97	PATENT DOCUM COUNTRY JP JP JP JP JP	IENTS		Translation
03286432A 05012710 07014221A 07105575A 08297858 09007226 08158147 08149725 08115029	12/17/91 1/22/93 1/17/95 4/21/95 11/12/96 1/10/97	JP JP JP JP		SUBCLASS	
03286432A 05012710 07014221A 07105575A 08297858 09007226 08158147 08149725 08115029	12/17/91 1/22/93 1/17/95 4/21/95 11/12/96 1/10/97	JP JP JP	CLASS	SUBCLASS	
05012710 07014221A 07105575A 08297858 09007226 08158147 08149725 08115029	1/22/93 1/17/95 4/21/95 11/12/96 1/10/97	JP JP JP			Yes No
05012710 07014221A 07105575A 08297858 09007226 08158147 08149725 08115029	1/22/93 1/17/95 4/21/95 11/12/96 1/10/97	JP JP JP			
05012710 07014221A 07105575A 08297858 09007226 08158147 08149725 08115029	1/22/93 1/17/95 4/21/95 11/12/96 1/10/97	JP JP			
07014221A 07105575A 08297858 09007226 08158147 08149725 08115029	1/17/95 4/21/95 11/12/96 1/10/97	JP	1		
07105575A 08297858 09007226 08158147 08149725 08115029	4/21/95 11/12/96 1/10/97				
08297858 09007226 08158147 08149725 08115029	11/12/96 1/10/97	TP TP			
09007226 08158147 08149725 08115029	1/10/97				
08158147 08149725 08115029		JP			
08149725 08115029	1/19/98	JP			
08115029	12/2/97	JP			
	11/28/97	JР			
08050199	9/19/97	JP	1		
08019648	8/15/97	JP		-	
08014667	8/5/97	JP			
08035523	8/5/97	JP TP			
			1		
			1		
			1		
			<u> </u>		
			 		
			 		
					
			 		
			 		
			1		
			1		
			+		
			 		
			 		
			+		
			+		
					
					
			 		
			+		
			1		
			+		
	07139459 07076177 07038628 07223758 06253971 06206963 06225691 06141602 06143042 06123718 06111259 07116045 07036958 06065594 05312129 05277809 05251824 05249821 05233110 05179739 05215547 05195011 06107566 05115763 05215634 04-176039	07076177 10/18/96 07038628 9/13/96 07223758 5/17/96 06253971 5/17/96 06206963 3/22/96 06225691 3/8/96 06141602 1/12/96 06143042 1/12/96 06123718 12/22/95 06111259 12/8/95 07116045 12/8/95 07036958 10/13/95 05312129 6/27/95 05277809 4/25/95 05251824 4/21/95 05249821 4/4/95 05215547 3/17/95 05195011 2/21/95 05115763 11/25/94 05215634 9/30/94	07076177 10/18/96 JP 07038628 9/13/96 JP 07223758 5/17/96 JP 06253971 5/17/96 JP 06206963 3/22/96 JP 06225691 3/8/96 JP 06141602 1/12/96 JP 06143042 1/12/96 JP 06123718 12/22/95 JP 06111259 12/8/95 JP 07116045 12/8/95 JP 07036958 10/13/95 JP 05312129 6/27/95 JP 05312129 6/27/95 JP 05277809 4/25/95 JP 05249821 4/4/95 JP 05179739 3/20/95 JP 0515547 3/17/95 JP 05195011 2/21/95 JP 05115763 11/25/94 JP 05215634 9/30/94 JP	07076177 10/18/96 JP 07038628 9/13/96 JP 07223758 5/17/96 JP 06253971 5/17/96 JP 06206963 3/22/96 JP 06225691 3/8/96 JP 06141602 1/12/96 JP 06143042 1/12/96 JP 06123718 12/22/95 JP 0611259 12/8/95 JP 07116045 12/8/95 JP 07036958 10/13/95 JP 05312129 6/27/95 JP 05312129 6/27/95 JP 05277809 4/25/95 JP 05249821 4/4/95 JP 05233110 3/31/95 JP 05179739 3/20/95 JP 05195011 2/21/95 JP 05115763 11/25/94 JP 05215634 9/30/94 JP 05215634 9/30/94 JP 05215634 9/30/94	07076177 10/18/96 JP 07038628 9/13/96 JP 07223758 5/17/96 JP 06253971 5/17/96 JP 06206963 3/22/96 JP 06225691 3/8/96 JP 06141602 1/12/96 JP 06143042 1/12/96 JP 06123718 12/22/95 JP 0611259 12/8/95 JP 07116045 12/8/95 JP 07036958 10/13/95 JP 05065594 10/3/95 JP 05312129 6/27/95 JP 05277809 4/25/95 JP 05249821 4/4/95 JP 05233110 3/31/95 JP 05179739 3/20/95 JP 05195011 2/21/95 JP 05195017 2/21/95 JP 0515763 11/25/94 JP 05215634 9/30/94 JP 05215634 06/23/92

WEMMH/SB/08A (4/03)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Page 4 of 5 Pages 7272-46/312362 NOV 1 5 2004			Applicant: Han H. Nee					
		HUA 1 2 TO		Filing Date: Januar	v 23 2004	Group Art Unit:	1774	
						oroup / iii oiiiii		
		PADEMOL	FOREIGN P	ATENT DOCUM	MENTS			
Examiner Initial		OCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Tra	nslation
							Yes	No
		O 00/21079	4/13/00	PCT				
		O 97/22968	06/26/97	PCT				
		/3193/96	10/18/96	JP			ļl	
		24133/92	11/13/92	JР			ļ	
		25406/94	05/10/93	JР			4	
		515/99	07/03/97	JР				
		O 02/2054396	07/11/02	PCT				
		401,389	07/11/02	CA			1	
		000-402146	07/11/02	JP				
		000-228032	08/15/00	JР				
)-177742	06/30/98	JP			ļi	
)3025737	02/04/91	JР			ļ	
		1012734	01/19/99	JP				
		737 966 A1	10/16/96	EP			<u> </u>	
		745 985 A2	12/04/96	EP			1 .	
		720 159 A2	07/03/96	EP			ļ	
		798 401 A2	10/01/97	EP				
		P002260270	06/12/92	JР			.	
		7-006427	01/10/95	JP				
		917 137 A	05/19/99	EP				
		867 868 A	09/30/98	EP				
		507 3975 A	03/26/93	JР				
	* 0	594 516 B1	05/13/98	EP			X	
	* 02	2-192046	07/27/90	JР			X	
)-011799	01/16/98	JР			X	
	* 09)-212915	08/15/97	JP			X	
	* 07	7-105575	04/21/95	JP			X	

conformance and not considered. Include copy of this form with next communication to applicant.

WEMMH/SB/08A (4/03)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Page 5 of 272-46/312		2004	Applicant: Han H. Nee						
		Men 1 2 mm							
		E. CERT	Filing Date: January 23, 2004	Group Art Unit: 1774					
		OTHER PRIOR ART - NO	N PATENT LITERATURE DO	DCUMENTS					
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher city and/or country where published							
	*	English Translation of PCT Publication No. WO 00/21079							
	*	English Translation of EP Publication No. 0 594 516 B1							
	*	English Translation of JP Publication No. 02-192046							
	*	English Translation of JP Publication No. 10-011799							
	*	English Translation of JP Publication No. 09-212915							
	*	English Translation of JP Publication No. 07-105575							
		Kirk-Othmer, Encyclopedia of Chemical Technology, 3 rd Ed., Vol. 10, pp. 247-283							
		SPIE Conference Proceeding, Vol. 2890, pp. 2-9, November 1996							
-	*	Journal of the Optical Society of Ame	rica, Vol. 44, No. 6, June 1954, p	p. 429-438	T				
xaminer	l		Date Considered						

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.